

## Exhibit 300: Capital Asset Plan and Business Case Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview (All Capital Assets)

1. **Date of Submission:** 2010-03-19 12:58:42
2. **Agency:** 015
3. **Bureau:** 00
4. **Name of this Investment:** IT Infrastructure Mainframes and Servers Services and Support (ITI MSSS)
5. **Unique Project (Investment) Identifier:** 015-00-02-00-01-5203-00
6. **What kind of investment will this be in FY 2011?:** Mixed Life Cycle
  - Planning
  - Full Acquisition
  - Operations and Maintenance
  - Mixed Life Cycle
  - Multi-Agency Collaboration
7. **What was the first budget year this investment was submitted to OMB? \***
8. **Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

Treasury's ITI Mainframes/Servers Systems & Support (ITI MSSS) IT Investment Initiative provides funding for all hardware and software mainframe and mid-range computers (servers) grouped by platform (e.g., UNIX, Wintel, LINUX, etc.), including system management and utility software. ITI MSSS addresses the identified gap which includes major challenges at Treasury ranging from cost reduction/avoidance, improving IT security to keep ahead of emerging threats, and to ensure adequate scalability to meet to growing needs of our stakeholders. This IT Investment's goals pursue addressing the challenge of cost reduction/cost savings include server virtualization and consolidation, improved asset management, and strategic sourcing of hardware and software. Server virtualization will also provide the ability to provide better service to business areas by improved systems management and faster server provisioning. To keep up with emerging security threats the Treasury Department will continue to make on-going investments in security infrastructure technologies. The ITI MSSS is set to address the challenges include server virtualization and consolidation, improved asset management, strategic sourcing of hardware and software and on-going investments in security technologies.

  - a. **Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**
9. **Did the Agency's Executive/Investment Committee approve this request? \***
  - a. **If "yes," what was the date of this approval? \***
10. **Contact information of Program/Project Manager?**
  - **Name:** \*
  - **Phone Number:** \*
  - **Email:** \*
11. **What project management qualifications does the Project Manager have? (per FAC-P/PM)? \***

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

**12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):**

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

**a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): \***

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

## Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

<b>Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES</b> <b>(REPORTED IN MILLIONS)</b> (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

\*

## Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
TDOX-060058	T&M: Time & Materials	Y	2006-02-24	2006-02-24	2011-09-30	\$7.6	*	*	*	*	*
263-01-0088	CPIF: Cost Plus Incentive Fee	Y	2007-03-23	2007-03-23	2011-12-15	\$27.9	*	*	*	*	*
TCC-05-HQ-G-0015	FFP: Firm Fixed Price	Y	2004-12-07	2005-01-01	2013-09-30	\$28.5	*	*	*	*	*
TFMS-06-K-0034	LH: Labor Hour	Y	2006-12-31	2007-01-01	2011-12-31	\$44.0	*	*	*	*	*
TFMS-HQ-07-K-0019	T&M: Time & Materials	Y	2007-01-15	2007-01-15	2011-12-31	\$10.5	*	*	*	*	*
TIRNO-06-D-00026	CPFF: Cost Plus Fixed Fee	Y	2006-10-30	2006-10-30	2011-10-31	\$29.2	*	*	*	*	*
TPD-FIN-07-K-00026	FFP: Firm Fixed Price	Y	2006-11-15	2006-11-15	2011-09-30	\$0.9	*	*	*	*	*
CC-04-HQ-G-0001	FFP: Firm Fixed Price	Y	2003-11-13	2004-12-01	2012-09-30	\$3.6	*	*	*	*	*
TIRNO99Z000020731	FFP: Firm Fixed Price	Y	2006-05-31	2007-10-01	2012-09-30	\$109.4	*	*	*	*	*
TIRNO07D000040001	FFP: Firm Fixed Price	Y	2007-08-31	2007-10-01	2012-09-30	\$36.0	*	*	*	*	*
TM-HQ-08-C-0032 CLIN 6	FFP: Firm Fixed Price	Y	2008-02-29	2008-03-01	2012-09-30	\$15.6	*	*	*	*	*
TPD-FIN-08-K-00020	FFP: Firm Fixed Price	Y	2007-10-01	2007-10-01	2012-09-30	\$0.1	*	*	*	*	*
TPD-FIN-08-K-00037	FFP: Firm Fixed Price	Y	2008-04-07	2008-04-07	2011-04-06	\$0.0	*	*	*	*	*
TPD-FIN-08-K-00044	FFP: Firm Fixed Price	Y	2008-05-14	2008-05-14	2011-05-13	\$0.0	*	*	*	*	*
TPD-FIN-08-K-00045	FFP: Firm Fixed Price	Y	2008-05-14	2008-05-14	2011-05-13	\$0.1	*	*	*	*	*
TPD-FIN-08-00035	FFP: Firm Fixed Price	Y	2008-04-09	2008-04-09	2011-05-08	\$0.0	*	*	*	*	*
TPD-FIN-08-K-00035	FFP: Firm Fixed Price	Y	2008-03-12	2008-03-12	2013-03-11	\$0.0	*	*	*	*	*
TPD-FIN-08-K-00029	FFP: Firm Fixed Price	Y	2008-03-03	2008-03-03	2012-03-02	\$0.0	*	*	*	*	*
TFMS-HQ-09-C-0002	FFP: Firm Fixed Price	Y	2008-12-31	2008-12-31	2013-12-31	\$44,419.3	*	*	*	*	*
TPD-OIT-09-K-00021	FFP: Firm Fixed Price	Y	2008-09-30	2008-09-30	2011-10-30	\$0.3	*	*	*	*	*
TIRNO99Z000080002	FFP: Firm Fixed Price	Y	2000-09-29	2000-10-01	2011-09-30	\$88.8	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

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3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? \*

a.If "yes," what is the date? \*

## Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2011	Management and Organizational Excellence	*	*	Percent improvement in service levels toward applicable agency benchmarks	20%	40%	To Be Determined 1st Quarter FY 2012
2011	Management and Organizational Excellence	*	*	Percent of Treasury-wide investments using IOI Common Solutions	7%	40%	To Be Determined 1st Quarter FY 2012
2011	Management and Organizational Excellence	*	*	Percent of bureaus collaboratively participating in annual update of action plan	100%	Remains at 100%	To Be Determined 1st Quarter FY 2012
2011	Management and Organizational Excellence	*	*	Percent of potential cost savings realized	0%	20%	To Be Determined 1st Quarter FY 2012
2012	Management and Organizational Excellence	*	*	Percent improvement in service levels toward applicable agency benchmarks	20%	40%	To Be Determined 1st Quarter FY 2013
2012	Management and Organizational Excellence	*	*	Percent of Treasury-wide investments using IOI Common Solutions	7%	40%	To Be Determined 1st Quarter FY 2013
2012	Management and Organizational Excellence	*	*	Percent of bureaus collaboratively participating in annual update of action plan	100%	Remains at 100%	To Be Determined 1st Quarter FY 2013
2012	Management and Organizational Excellence	*	*	Percent of potential cost savings realized	0%	20%	To Be Determined 1st Quarter FY 2013
2013	Management and Organizational Excellence	*	*	Percent improvement in service levels toward applicable agency benchmarks	20%	40%	To Be Determined 1st Quarter FY 2014
2013	Management and Organizational Excellence	*	*	Percent of Treasury-wide investments using IOI Common Solutions	7%	40%	To Be Determined 1st Quarter FY 2014

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2013	Management and Organizational Excellence	*	*	Percent of bureaus collaboratively participating in annual update of action plan	100%	Remains at 100%	To Be Determined 1st Quarter FY 2014
2013	Management and Organizational Excellence	*	*	Percent of potential cost savings realized	0%	20%	To Be Determined 1st Quarter FY 2014
2014	Management and Organizational Excellence	*	*	Percent improvement in service levels toward applicable agency benchmarks	20%	40%	To Be Determined 1st Quarter FY 2015
2014	Management and Organizational Excellence	*	*	Percent of Treasury-wide investments using IOI Common Solutions	7%	40%	To Be Determined 1st Quarter FY 2015
2014	Management and Organizational Excellence	*	*	Percent of bureaus collaboratively participating in annual update of action plan	100%	Remains at 100%	To Be Determined 1st Quarter FY 2015
2014	Management and Organizational Excellence	*	*	Percent of potential cost savings realized	0%	20%	To Be Determined 1st Quarter FY 2015
2015	Management and Organizational Excellence	*	*	Percent improvement in service levels toward applicable agency benchmarks	20%	40%	To Be Determined 1st Quarter FY 2016
2015	Management and Organizational Excellence	*	*	Percent of Treasury-wide investments using IOI Common Solutions	7%	40%	To Be Determined 1st Quarter FY 2016
2015	Management and Organizational Excellence	*	*	Percent of bureaus collaboratively participating in annual update of action plan	100%	Remains at 100%	To Be Determined 1st Quarter FY 2016
2015	Management and Organizational Excellence	*	*	Percent of potential cost savings realized	0%	20%	To Be Determined 1st Quarter FY 2016

## Part II: Planning, Acquisition And Performance Information

### Section A: Cost and Schedule Performance (All Capital Assets)

#### 1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline

Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY 2011 Mainframes and Servers DME	*	*	2010-10-01		2011-09-30		0.00%	0.00%
FY 2011 Mainframes and Servers SS	*	*	2010-10-01		2011-09-30		0.00%	0.00%
FY 2012 Mainframes and Servers DME	*	*	2011-10-01		2012-09-30		0.00%	0.00%
FY 2012 Mainframes and Servers SS	*	*	2011-10-01		2012-09-30		0.00%	0.00%
FY 2013 Mainframes and Servers DME	*	*	2012-10-01		2013-09-30		0.00%	0.00%
FY 2013 Mainframes and Servers SS	*	*	2012-10-01		2013-09-30		0.00%	0.00%
FY 2014 Mainframes and Servers DME	*	*	2013-10-01		2014-09-30		0.00%	0.00%
FY 2014 Mainframes and Servers SS	*	*	2013-10-01		2014-09-30		0.00%	0.00%
FY 2015 Mainframes and Servers DME	*	*	2014-10-01		2015-09-30		0.00%	0.00%
FY 2015 Mainframes and Servers SS	*	*	2014-10-01		2015-09-30		0.00%	0.00%
FY 2009 Mainframes and Servers DME	\$22.6	\$22.6	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY 2009 Mainframes and Servers SS	\$692.7	\$692.7	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY 2010 Mainframes and Servers DME	\$14.8	\$11.1	2009-10-01	2009-10-01	2010-09-30		75.00%	75.00%
FY 2010 Mainframes	\$705.1	\$528.8	2009-10-01	2009-10-01	2010-09-30		75.00%	75.00%



1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
and Servers SS								

\* - Indicates data is redacted.